

VIII. NP-completeness

NP-Completeness – overview

1. Introduction
2. P and NP
3. NP-complete (NPC): formal definition
4. How to prove a problem is NPC
5. How to solve a NPC problem: approximate algorithms

1. Introduction

Tractable and intractable problems

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Almost all the algorithms we have studied thus far have been polynomial-time algorithms on inputs of size n , their worst-case running time is $O(n^k)$ for some constant k .

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NP-complete (NPC) problems: an informal definition

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1. We *only know* how to solve those problems in time much larger than polynomial, namely exponential time.
2. If we could *solve one NPC problem* in polynomial time, then there is a way to *solve every NPC problem* in polynomial time.

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Reasons to study NPC problems – practical

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- ▶ you can **change your problem formulation** so that it is solvable in polynomial time.

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Whether NPC problems have polynomial solutions?

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Whether NPC problems have polynomial solutions?

- ▶ First posed in 1971
<http://www.claymath.org/millennium-problems>

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P-vs-NP Examples

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Example 1.

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The first one is solvable in polynomial time (the Bellman-Ford algorithm), and the second is NPC, but the difference appears to be slight.

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P-vs-NP Examples

¹Euler cycle of $G = (V, E)$ **iff** $\text{in-degree}(v) = \text{out-degree}(v)$ for $\forall v \in V$

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▶ Euler tour:

given a connected, directed graph G , is there a cycle that visits each edge exactly once (although it is allowed to visit each vertex more than once)?

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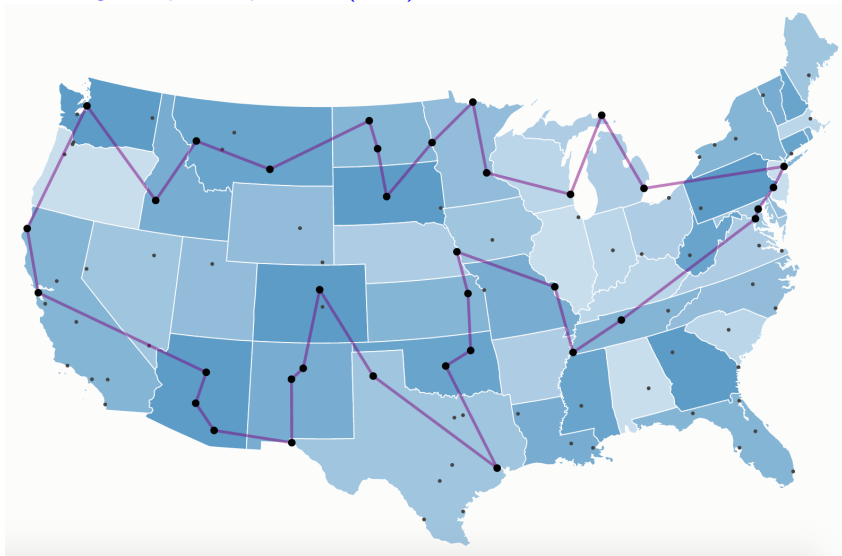
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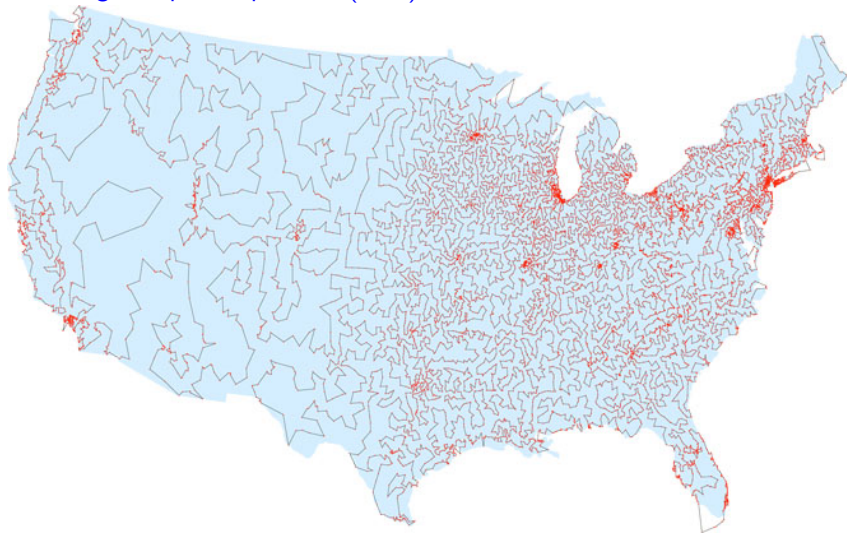
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- ▶ but they can also be formulated as **decision problems**, that is, problems for which the output is a simple **Yes or No answer** for each input.

Remarks:

- ▶ *To simplify discussion, we can consider only decision problems, rather than optimization problems.*
- ▶ The optimization problems are at least as hard to solve as the related decision problems, we have not lost anything essential by doing so.

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Optimization-vs-Decision Examples

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Example 1

Graph coloring: A coloring of a graph $G = (V, E)$ is a mapping

$$C : V \rightarrow S$$

where S is a finite set of "colors", such that

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$$(u, v) \in E \Rightarrow C(u) \neq C(v)$$

- ▶ **optimization problem:** given G , determine the smallest number of colors needed.
- ▶ **decision problem:** given G and a positive integer k , is there a coloring of G using at most k colors?

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Example 2.

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*Hamiltonian cycle: A Hamiltonian cycle is cycle that passes through every **vertex** exactly once.*

- ▶ **decision problem:** Does a given graph have a Hamiltonian cycle?
- ▶ **optimization problem:** Give a list of vertices of a Hamiltonian cycle.

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Example 3.

TSP (Traveling Salesperson Problem): given a weighted graph and an integer k , is there a cycle that visits all vertices exactly once (Hamiltonian cycle) whose total weight is k or less?

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- ▶ **optimization problem:** given a weighted graph, find a minimum Hamiltonian cycle.

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TSP (Traveling Salesperson Problem): given a weighted graph and an integer k , is there a cycle that visits all vertices exactly once (Hamiltonian cycle) whose total weight is k or less?

- ▶ **optimization problem:** given a weighted graph, find a minimum Hamiltonian cycle.
- ▶ **decision problem:** given a weighted graph and an integer k , is there a Hamiltonian cycle with total weight at most k ?

1. Introduction – recap

1. Tractable and intractable problems
polynomial-boundedness: $O(n^k)$
2. NP-complete problems – informal definition
3. P vs NP
difference may appear “*only slightly*”
4. Optimization problems and decision problems