## Problem Set 2 Solutions

Problem 1 Draw DFAs for the following languages:

(a)  $A = \{x \in \{a, b\}^* : |x| \ge 3\}$ 

(b) B = the binary encodings of numbers divisible by 7. Allow leading zeros and the empty string as alternate names of 0. Thus  $B = \{\varepsilon, 0, 00, 000, 111, 0000, 1110, \ldots\}$ 

(c) C = the binary encodings of numbers divisible by 7. Don't allow leading zeros or the empty string. Thus  $C = \{0, 111, 1110, \ldots\}$ .

(d) D = binary strings that contain the same number of 01's as 10's.



**Problem 2.** Let  $\mathcal{E}(L) = \{x \in L : \text{ there exists a } y \in \Sigma^+ \text{ for which } xy \in L\}.$  (By  $\Sigma^+$  we mean  $\Sigma\Sigma^*$ .)

**Part A.** What is  $\mathcal{E}(\{0,1\}^*)$ ? What is  $\mathcal{E}(\{\varepsilon,0,1,00,01,111,1110,1111\})$ ?

 $\mathcal{E}(\{0,1\}^*) = \{0,1\}^*, \text{ while } \mathcal{E}(\{\varepsilon,0,1,00,01,111,1110,1111\}) = \{\varepsilon,0,1,111\}.$ 

**Part B.** Prove that if L is DFA-acceptable then  $\mathcal{E}(L)$  is, too.

Given a DFA  $M = (Q, \Sigma, \delta, q_0, F)$  for L, a DFA  $M = (Q, \Sigma, \delta, q_0, F')$  is constructed for  $\mathcal{E}(L)$  by "pruning" the final state set; we define F' to be the set of all states  $q \in F$  such that there exists some nontrivial path from q to some final state of M. Then  $x \in L(M')$  iff  $x \in L$  and there is some  $y \in \Sigma^+$  such that  $xy \in L(M)$ .

**Problem 3** State whether the following propositions are true or false, proving each answer.

(a) Every DFA-acceptable language can be accepted by a DFA with an odd number of states.

**True.** The idea is to add a "dummy state" in the case that the machine has an even number of states. Formally, given a DFA  $M = (Q, \Sigma, \delta, q_0, F)$ , if |Q| is odd set M' = M and if |Q| is even then let  $M' = (Q \cup \{s\}, \Sigma, \delta', q_0, F)$  (where  $s \notin Q$ ) and let  $\delta'(q, a) = \delta(q, a)$  for  $q \in Q, a \in \Sigma$  and  $\delta'(s, a) = s$  (say) for  $a \in \Sigma$ . Clearly L(M) = L(M') and M' has an odd number of states.

(b) Every DFA-acceptable language can be accepted by a DFA whose start state is never visited twice.

**True.** Add a new start state and connect it up to all the states that the old start state was connected to, in the same way. Formally, given a DFA  $M = (Q, \Sigma, \delta, q_0, F)$  construct a DFA  $M' = (Q \cup \{s\}, \Sigma, \delta', s, F')$ (for  $s \notin Q$ ) by saying  $\delta'(q, a) = \delta(q, a)$  for  $q \in Q$ ,  $a \in \Sigma$ , and  $\delta'(s, a) = \delta(q_0, a)$  for  $a \in \Sigma$ , and F' = F if  $q_0 \notin F$  and  $F = F \cup \{s\}$  if  $q_0 \in F$ .

(c) Every DFA-acceptable language can be accepted by a DFA no state of which is ever visited more than once.

False. Only finite languages can be accepted by such a machine, and some DFA-acceptable languages are infinite.

(d) The language  $L = \{x \in \{a, b\}^* : x \text{ starts and ends with the same character}\}$  can be accepted by a DFA  $M = (Q, \Sigma, \delta, q_0, F)$  for which  $\delta^*(q_0, w) = q_0$  for some  $w \neq \varepsilon$ . Assume an alphabet of  $\Sigma = \{a, b\}$ .

**False.** Since  $a \in L$  and  $b \in L$  we know that  $\delta(q_0, a) \in F$  and  $\delta(q_0, b) \in F$ . If w begins with an a then  $\delta^*(q_0, wb) = \delta^*(q_0, b) \in F$ , but  $wb \notin L$ . If w begins with a b then  $\delta^*(q_0, wa) = \delta^*(q_0, a) \in F$ , but  $wa \notin L$ .

- **Problem 4** A homomorphism is a function  $h: \Sigma \to \Gamma^*$  for alphabets  $\Sigma$ ,  $\Gamma$ . Given a homomorphism h, extend it to strings and then languages by asserting that  $h(\varepsilon) = \varepsilon$ ,  $h(a_1 \cdots a_n) = h(a_1) \cdots h(a_n)$ (for  $a_1, \ldots, a_n \in \Sigma$ ), and  $h(L) = \{h(x) : x \in L\}$ .
- (a) Prove: for any homomorphism h, if L is DFA-acceptable, then so is h(L).

Given a DFA M, replace each *a*-labeled transition by an h(a)-labeled one. For arcs now bearing multicharacter labels  $a_1 \cdots a_m$ , add m-1 intermediate states connected by arcs labeled by  $a_1, \ldots, a_m$ . In this way we get an NFA for h(L). The equivalence of the DFA and NFA acceptable languages establishes the result.

(b) Disprove: for any homomorphism h, if h(L) is DFA-acceptable, then so is L. For this you may assume that there's a language L that is not DFA-acceptable.

Let  $L \subseteq \Sigma^*$  be any language that is not DFA-acceptable. Let  $h(a) = \varepsilon$  for all  $a \in \Sigma$ . Then  $h(L) = \{\varepsilon\}$  is DFA-acceptable even though L is not.

- **Problem 5.** Fix a DFA  $M = (Q, \Sigma, \delta, q_0, F)$ . For any two states  $q, q' \in Q$ , let us say that q and q' are equivalent, written  $q \sim q'$ , if, for all  $w \in \Sigma^*$  we have that  $\delta^*(q, w) \in F \Leftrightarrow \delta^*(q', w) \in F$ . Here  $\delta^*$  is the extension of  $\delta$  to  $\Sigma^*$  defined by  $\delta^*(q, \varepsilon) = q$  and  $\delta^*(q, ax) = \delta^*(\delta(q, a), x)$ .
- (a) Prove that  $\sim$  is an equivalence relation.

This is immediate from the definition. Reflexive:  $q \sim q$  because  $\delta^*(q, w) \in F$  iff  $\delta^*(q, w) \in F$ . Symmetric: If  $q \sim q'$  then, for all  $w \in \Sigma^*$ ,  $\delta^*(q, w) \in F$  iff  $\delta^*(q', w) \in F$ . Thus  $q' \sim q$ . Transitive: If  $q \sim q'$  and  $q' \sim q''$  then, for all  $w \in \Sigma^*$ ,  $\delta^*(q, w) \in F$  iff  $\delta^*(q', w) \in F$  iff  $\delta^*(q'', w) \in F$ .

(b) Suppose that  $q \sim q'$  for distinct q, q'. Describe, first in plain English and then in precise mathematical terms, how to construct a smaller (=fewer state) DFA M' that accepts the same language as M.

Create M' by eliminating q' and redirecting all arcs into it into state q, instead. Formally, assuming  $q' \neq q_0$ , let  $M' = (Q', \Sigma, \delta', q_0, F')$  where  $Q' = Q - \{q'\}$ ,  $F' = F - \{q'\}$ , and  $\delta'(p, a) = q$  when  $\delta(p, a) = q'$ , and  $\delta'(p, a) = \delta(p, a)$  otherwise. If  $q' = q_0$  then swap the roles of q and q' (or change the start state to q).