

Solving recurrence relations with repeated substitutions

1. Solve $T(n) = 2T\left(\frac{n}{3}\right) + n$ to within a $\Theta(\cdot)$ result.

Substituting in $\frac{n}{3}$ for n in the equation, we have $T\left(\frac{n}{3}\right) = 2T\left(\frac{n}{3^2}\right) + \frac{n}{3}$

From the original equation:

$$T(n) = 2T\left(\frac{n}{3}\right) + n$$

$$T(n) = 2\left[2T\left(\frac{n}{3^2}\right) + \frac{n}{3}\right] + n$$

$$T(n) = 2^2T\left(\frac{n}{3^2}\right) + n\left(1 + \frac{2}{3}\right)$$

Substituting in $\frac{n}{3^2}$ for n in the equation, we have $T\left(\frac{n}{3^2}\right) = 2T\left(\frac{n}{3^3}\right) + \frac{n}{3^2}$

$$T(n) = 2^2\left[2T\left(\frac{n}{3^3}\right) + \frac{n}{3^2}\right] + n\left(1 + \frac{2}{3}\right)$$

$$T(n) = 2^3T\left(\frac{n}{3^3}\right) + n\left(1 + \frac{2}{3} + \left(\frac{2}{3}\right)^2\right)$$

$$T(n) = 2^kT\left(\frac{n}{3^k}\right) + n\left(1 + \frac{2}{3} + \left(\frac{2}{3}\right)^2 + \dots + \left(\frac{2}{3}\right)^{k-1}\right)$$

The series $1 + \frac{2}{3} + \left(\frac{2}{3}\right)^2 + \dots$ is a geometric series (where $r < 1$). $s = \frac{1}{1-r} = \frac{1}{1-\frac{2}{3}} = 3$. So right term is $\Theta(n)$.

For left term, use $k = \log_3 n$:

$$2^{\log_3 n} T\left(\frac{n}{3^{\log_3 n}}\right) = 2^{\log_3 n} T(1)$$

For a small enough n , $T(n)$ has constant runtime $\Theta(1)$, so we can ignore $T(1)$.

$$2^{\log_3 n} = (3^{\log_3 2})^{\log_3 n} = (3^{\log_3 n})^{\log_3 2} = n^{\log_3 2}$$

Comparing the two terms, $\Theta(n^{\log_3 2}) < \Theta(n)$, therefore $T(n) = \Theta(n)$.

2. Solve $T(n) = 3T\left(\frac{n}{2}\right) + n$ to within a $\Theta(\cdot)$ result.

$$T(n) = 3\left[3T\left(\frac{n}{2^2}\right) + \frac{n}{2}\right] + n$$

$$T(n) = 3^2T\left(\frac{n}{2^2}\right) + n\left(1 + \frac{3}{2}\right)$$

$$T(n) = 3^3T\left(\frac{n}{2^3}\right) + n\left(1 + \frac{3}{2} + \left(\frac{3}{2}\right)^2\right)$$

$$T(n) = 3^kT\left(\frac{n}{2^k}\right) + n\left(1 + \frac{3}{2} + \left(\frac{3}{2}\right)^2 + \dots + \left(\frac{3}{2}\right)^{k-1}\right)$$

Now consider the series $S = 1 + x + x^2 + \dots + x^{k-1} + x^k$:

$$Sx = x + x^2 + \dots + x^{k-1} + x^k + x^{k+1}$$

$$1 + Sx = 1 + x + x^2 + \dots + x^{k-1} + x^k + x^{k+1}$$

$$1 + Sx = S + x^{k+1}$$

$$Sx - S = x^{k+1} - 1$$

$$S(x - 1) = x^{k+1} - 1$$

$$S = \frac{x^{k+1} - 1}{x - 1}$$

$$n \left(1 + \frac{3}{2} + \left(\frac{3}{2}\right)^2 + \dots + \left(\frac{3}{2}\right)^{k-1} \right) = \frac{3^k - 1}{\frac{1}{2}} = 2 \left(\left(\frac{3}{2}\right)^k - 1 \right)$$

$$T(n) = 3^k T\left(\frac{n}{2^k}\right) + 2n \left(\left(\frac{3}{2}\right)^k - 1 \right)$$

Use $k = \lg n$:

$$T(n) = 3^{\lg n} T\left(\frac{n}{2^{\lg n}}\right) + 2n \left(\left(\frac{3}{2}\right)^{\lg n} - 1 \right)$$

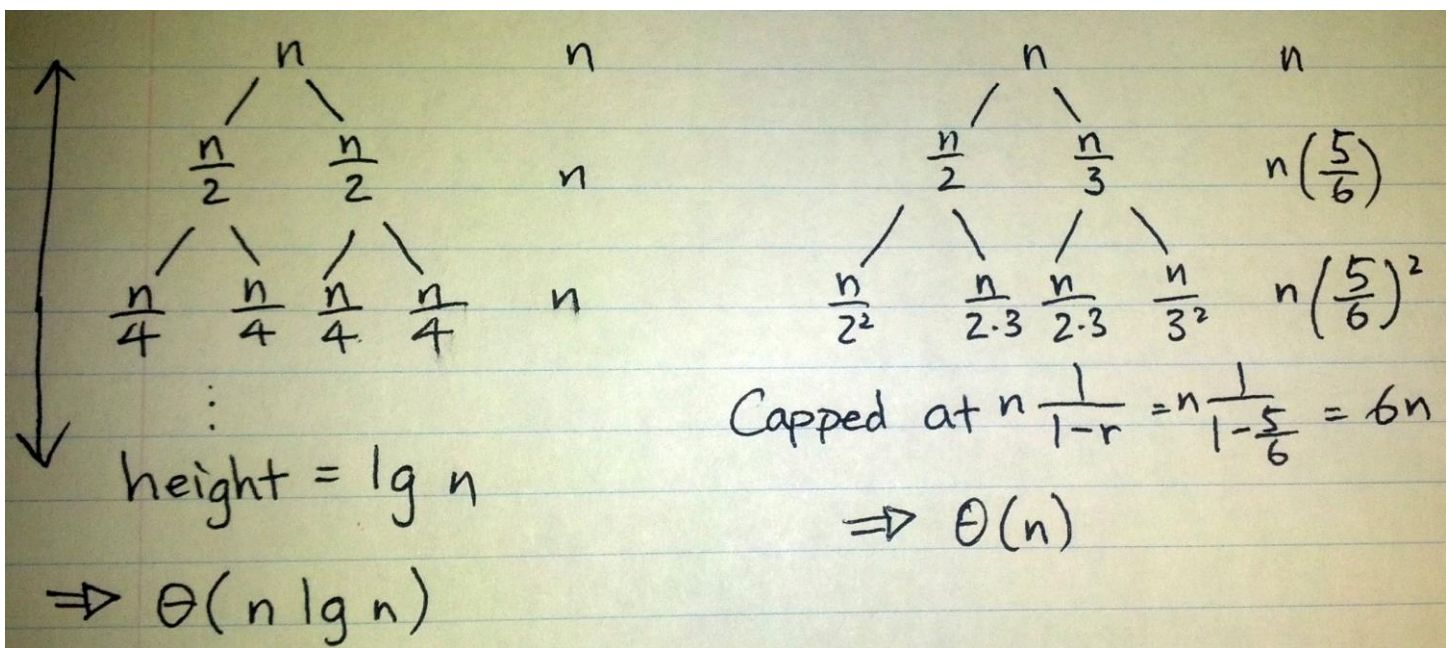
$$\Theta(T(n)) = \Theta(3^{\lg n}) + \Theta\left(2n \left(\frac{3}{2}\right)^{\lg n} - 1\right)$$

$$\Theta(T(n)) = \Theta((2^{\lg 3})^{\lg n}) + \Theta\left(2n \left(\frac{3^{\lg n}}{2^{\lg n}}\right) - 2n\right)$$

$$\Theta(T(n)) = \Theta(n^{\lg 3}) + \Theta(2 * 3^{\lg n} - 2n) = \Theta(n^{\lg 3}) + \Theta(n^{\lg 3}) = \Theta(n^{\lg 3})$$

Solving recurrence relations with recursion trees

Solve $T(n) = 2T\left(\frac{n}{2}\right) + n$ to within a $\Theta(\cdot)$ result. Solve $T(n) = T\left(\frac{n}{2}\right) + T\left(\frac{n}{3}\right) + n$ to within a $\Theta(\cdot)$ result.



To determine the height, consider the number of recursions to get to $T(1)$, at which point the recursion stops.

For $T(n) = 2T\left(\frac{n}{2}\right) + n$, the height is $\lg n$ since n is being divided by two each time. There are $\lg n$ levels each taking n time, so it is $\Theta(n \lg n)$.

For $T(n) = T\left(\frac{n}{2}\right) + T\left(\frac{n}{3}\right) + n$ we do not need to consider the height, because we see that the total runtime is n times a constant number. Its runtime is capped at $6n$, so it is $\Theta(n)$.

Big-O and Theta

$O(\cdot)$ is an upper bound. $\Theta(\cdot)$ is both an upper and lower bound (or tight bound). True or false?

Note: $>$ is not defined. $\Theta(f) > \Theta(g)$ has been re-written to $g \in O(f)$.

- | | | |
|-------------------------------|---|------------------------------------|
| a) $n = O(n)$ T | e) $n^2 = O(n)$ F | i) $n^{\lg n} \in O(2^n)$ T |
| b) $n = \Theta(n)$ T | f) $n^2 = \Theta(n)$ F | j) $n! \in O(2^n)$ F |
| c) $n = O(n^2)$ T | g) $100n \in O(0.01n^2)$ T | k) $\ln n \in O(\lg n)$ T |
| d) $n = \Theta(n^2)$ F | h) $2^n \in O(2^{\sqrt{n}}) >$ F | l) $\log n \in O(\ln n)$ T |

For h), $\Theta(2^{\sqrt{n}})$ and $\Theta(2^n)$ do have two different growth rates.

For k) and l), since $\log_b x = \log_d x / \log_d b$, different logarithmic bases only differ by a multiplicative constant. So $\Theta(\lg n) = \Theta(\ln n) = \Theta(\log n)$. Alternatively $\lg n, \ln n, \log n \in O(\lg n)$.

Rank the following functions by order of growth: $1, n, n^2, n^3, \lg n, \ln n, \lg \lg n, \ln \ln n, 2^n, n \lg n, n^{\lg n}$

1
 $\ln \ln n \quad \lg \lg n$
 $\ln n \quad \lg n$
 n
 $n \lg n$
 n^2
 n^3
 $n^{\lg n}$
 2^n