

ECS 20 — Lecture 12 — Fall 2013 — 5 Nov 2013

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Today:

- Functions, continued:
- o Some functions that arise often in CS
- o Comparing the size of infinite sets

Announcements:

- o Dog day next Tuesday! BYOD.

Review

Domain. Co-domain. Range.
Injective (1-1) and surjective (onto) and bijective.

Notation: Sometimes you might want to show that f takes x to y , a to $2a$, etc. Don't use a \rightarrow symbol for that; write $x \mapsto y$, $a \mapsto 2a$. With surrounding English, this reads ok. But saying $a \rightarrow 2a$ definitely does not.

Some computer scientists like to denote functions by “**lambda expressions**”

To say that f is the function that maps x to x^2 we write

$$f = \lambda x. x^2$$

Here x is just a formal variable;
The domain is not mentioned explicitly

Functions don't have to be defined on numbers, of course; eg,

$$| \cdot | : \Sigma^* \rightarrow \mathbf{N}$$

$\text{hd}(x)$ = the first character of the string x , or ERROR if $x = \epsilon$

$\text{tl}(x)$ = all but the first character of x (and ϵ when $x = \epsilon$)?

$\text{dim}(A)$ = the dimensions of the matrix A , regarded as a pair of natural numbers

Functions can take any number of arguments – which we think of as forming the cross product.

Example: $\text{max}: \mathbf{N}^2 \rightarrow \mathbf{N}$

Functions can have domains that are complex sets,

$$\text{max}: \bigcup_{i \in \{1,2,\dots\}} \mathbf{N}^i \rightarrow \mathbf{N}$$

$$\delta^*: Q \times \Sigma^* \rightarrow Q$$

Formally, the domain is the cross product, but we don't routinely write things like $\delta^*((q, x))$; we write $\delta^*(q, x)$, understanding the function to operate on the pair.

It is also common, for some function, to switch to infix notation: $a+b$, rather than $+(a,b)$ (or, even worse, $+(a,b)$).

Function composition

$$f \circ g$$

$$f: A \rightarrow B, g: B \rightarrow C$$

then $(g \circ f) : A \rightarrow C$ is defined by
 $(g \circ f)(x) = g(f(x))$

Kind of "backwards", but fairly tradition. Some mathematicians (eg, in algebra) will reverse it,
 $(x) (f \circ g)$ "function operates on the left"

Inverse of a function

If $f(x) = y$ we say that x is a **preimage** of y
 Does every point in the codomain have a preimage?
 No, only points in the image.
 Does every point in the image have **one** preimage?
 No, only if it's an injective function
 Does every point in the domain have an image?
 Yes, that's required for being a function.
 Might it have two images?
 No, only one.

If you do have a **bijective** function $f: A \rightarrow B$ then the function $f^{-1}: B \rightarrow A$ is well defined:
 $f^{-1}(y) =$ the unique x such that $f(x) = y$.

Example: $f(x) = \exp(x) = e^x$
 Draw picture.
 What's the domain? \mathbf{R}
 What's the range / image? $(0, \infty)$
 Is it 1-1 on this image? **YES**
 What's its inverse? $y \mapsto \ln(y)$

Some important functions in CS

$\lfloor x \rfloor$ – define this.
 $\lceil x \rceil$
 $a \bmod b$
 $\gcd(a, b)$
 // Calculating this: Assume $a \geq b$. If $b = 0$ then return 0. Else return $\gcd(b, a \bmod b)$.
 $a \bmod b \leq a/2$. (If $b \leq a/2$, then $a \bmod b \leq a/2$; if $b > a/2$ then $a \bmod b = a - b \leq a/2$)
 $|x|$
 $2^x, e^x, a^x$
 $\lg, \log, \ln, \log_b(x)$
 $n!$
 $\min X$
 $\max X$ -- talk about what the domain of min and max are, and when these function are well defined.

Talk about the term **partial** (vs total) function
 $\gcd(a,b) = \gcd(b, a \bmod b)$

Proposition [Biggs, p. 35]: Every nonempty $X \subseteq \mathbf{N} = \{1, 2, \dots\}$ has a minimum.

Proof. Let $P(n) =$ "every subset X of \mathbf{N} containing n has a least number." Since X is nonempty, it suffices to show $P(n)$ for all $n \geq 1$.

Induction:

Basis: $P(1)$ is true because 1 is the minimum element of \mathbf{N} .

Inductive step (strong induction). Suppose $P(1), \dots, P(k)$ is true; must show $P(k+1)$. So $k+1 \in X$.

Case 1: for all $x \in X, x > k$. Then $k+1 = \min X$.

Case 2. for some $x \in X, x \leq k$. By inductive assumption, X has a least number.

Review properties of logs: // forgot to do in class

$$\log(ab) = \log(a) + \log(b)$$

$$\log_a(b) = \log_c(b) / \log_c(a)$$

$$e^{ab} = (e^a)^b$$

$$a^x a^y = a^{x+y}$$

Draw picture of $y = \lg(x)$

Equicardinal sets

Sets A and B are **equicardinal** (or **equinumerous** or **equipotent**), written $|A|=|B|$ or $A \sim B$, if there exists a bijection $\pi: A \rightarrow B$.

Claim: this is an equivalence relation.

T/F: There is a bijection from A to B iff there is a bijection from B to A)

A set is **finite** if it is empty or equipotent with $\{1, \dots, n\}$ for some natural number n

A set is **infinite** if it is not finite.

A set is **countably infinite** if it is equipotent with \mathbf{N} .

Write $|A| = \aleph_0$

That symbol is called a **cardinal number**.

If $|A| = \aleph_i$ then $|\mathcal{P}(A)| = \aleph_{i+1}$

So the numbers you know about are $0, 1, 2, \dots, \aleph_0, \aleph_1, \aleph_2, \dots$

Use $|A| < |B|$ if there is an injection but no bijection from A to B .

Theorem [Cantor] $|A| < |\mathcal{P}(A)|$

Theorem [Schröder-Bernstein] If $|A| \leq |B|$ and $|B| \leq |A|$ then $|A| = |B|$.

Examples:

- $\{0, 1, \dots\} \sim \{1, 2, \dots\}$
- Show that the natural numbers and the integers are equicardinal
- Show that the rationals and the integers are equicardinal
- Infinitely many hotel rooms, room 1, room 2, ...

In comes a new customer. Can you accommodate him (perhaps with a bit of inconvenience to existing customers)?

Yes, shift everyone over. Showing a bijection from \mathbf{N} to $\mathbf{N} \cup \{\text{new}\}$.

- Same but now infinitely many new customers arrive, new1, new2, ...
No problem: $n \mapsto 2n$ and we slot the new customers in at the odd positions.
Show how to write it.
- Strings and numbers

Real numbers – can't be done. Let us now show this.

Diagonalization

- Prove that the set of **reals** is not countable. Focus on numbers in $[0,1]$, Change chosen digit d to $d+2 \pmod{10}$ along the diagonal.
- Prove that the set of **languages** over $\{0,1\}^*$ is **not** countable.
Cor: there are languages that no computer program can recognize. (didn't get to this)
- Prove **Cantor's theorem** (didn't get to this).

These proofs – really the same proof – illustrate diagonalization.

Used to prove Cantor's theorem, above, as well:

Proof of Cantor's theorem, from Wikipedia [Cantor's Theorem]: To establish Cantor's theorem it is enough to show that, for any given set A , no function f from A into the [power set](#) of A , can be [surjective](#), i.e. to show the existence of at least one subset of A that is not an element of the [image](#) of A under f . Such a subset is given by the following construction:

$$B = \{x \in A : x \notin f(x)\}.$$

This means, by definition, that for all x in A , $x \in B$ if and only if $x \notin f(x)$. For all x the sets B and $f(x)$ cannot be the same because B was constructed from elements of A whose images (under f) did not include themselves. More specifically, consider any $x \in A$, then either $x \in f(x)$ or $x \notin f(x)$. In the former case, $f(x)$ cannot equal B because $x \in f(x)$ by assumption and $x \notin B$ by the construction of B . In the latter case, $f(x)$ cannot equal B because $x \notin f(x)$ by assumption and $x \in B$ by the construction of B .

Thus there is no x such that $f(x) = B$; in other words, B is not in the image of f . Because B is in the power set of A , the power set of A has a greater cardinality than A itself.

The continuum hypothesis [Wikipedia entry]

The [continuum hypothesis](#) (CH) states that there are no cardinals strictly between \aleph_0 and $2^{\aleph_0} = \mathfrak{c}$, the [cardinality of the continuum](#) (the set of [real numbers](#)). The [generalized continuum hypothesis](#) (GCH) states that for every infinite set X , there are no cardinals strictly between $|X|$ and $2^{|X|}$. The continuum hypothesis is independent of the usual axioms of set theory, the Zermelo-Fraenkel axioms, together with the axiom of choice ([ZFC](#)).