

ECS 20 — Lecture 13 — Fall 2013 — 7 Nov 2013
Phil Rogaway

Today:

- o Comparing the size of infinite sets, cont
- o Asymptotic notation

Announcements:

- o Dog day next Tuesday! BYOD.

Comparing infinite sets, continued

Review:

$|A| \leq |B|$ if there exists an injection $f: A \rightarrow B$.

$|A| = |B|$ or $A \sim B$, if there exists a bijection $\pi: A \rightarrow B$. The sets are **equipotent, equicardinal**

$|A| \neq |B|$ if $\neg(|A| = |B|)$

$|A| < |B|$ if $|A| \leq |B|$ but $|A| \neq |B|$: there is an injection but no bijection from A to B.

A set is **finite** if it is empty or equipotent with $\{1, \dots, n\}$ for some natural number n

A set is **infinite** if it is not finite.

A set is **countably infinite** if it is equipotent with \mathbf{N} .

Write $|A| = \aleph_0$

That symbol is called a **cardinal number**.

So the numbers you know about are 0, 1, 2, ..., \aleph_0 , c

We showed last time that

Examples:

- $\mathbf{N} \sim \mathbf{Z}$
- $\{0,1, \dots\} \sim \{1,2, \dots\}$ (hotel with countably many occupied rooms; a new customer arrives)
- $\mathbf{N} \sim \{1,2\} \times \mathbf{N}$ (hotel with infinitely many occupied rooms; countably many new customer arrives)

Can also show

- $\mathbf{N} \sim \mathbf{Q}$: the rationals are countably infinite
- $\mathbf{N} \sim \{0,1\}^*$: the strings (over a fixed alphabet, say binary) are countably infinite

But we showed

- $|\mathbf{N}| < |\mathbf{R}|$: the reals are uncountable

Let's modify the proof a little to show that

- **The number of languages (sets of strings over $\{0,1\}$) is uncountable**

Give the standard diagonalization proof for this.

Important corollary:

Cor: there are languages that no computer program can recognize.

Theorem [Cantor] $|A| < |\mathcal{P}(A)|$

- Prove **Cantor's theorem**

Proof of Cantor's theorem, from Wikipedia [Cantor's Theorem]: To establish Cantor's theorem it is enough to show that, for any given set A , no function f from A into the [power set](#) of A , can be [surjective](#), i.e. to show the existence of at least one subset of A that is not an element of the [image](#) of A under f . Such a subset is given by the following construction:

$$B = \{x \in A : x \notin f(x)\}.$$

This means, by definition, that for all x in A , $x \in B$ if and only if $x \notin f(x)$. For all x the sets B and $f(x)$ cannot be the same because B was constructed from elements of A whose images (under f) did not include themselves. More specifically, consider any $x \in A$, then either $x \in f(x)$ or $x \notin f(x)$. In the former case, $f(x)$ cannot equal B because $x \in f(x)$ by assumption and $x \notin B$ by the construction of B . In the latter case, $f(x)$ cannot equal B because $x \notin f(x)$ by assumption and $x \in B$ by the construction of B .

Thus there is no x such that $f(x) = B$; in other words, B is not in the image of f . Because B is in the power set of A , the power set of A has a greater cardinality than A itself.

Theorem [Cantor-Bernstein-Schroeder] If $|A| \leq |B|$ and $|B| \leq |A|$ then $|A| = |B|$.

Many proofs, but not simple. I read the one on the Wikipedia page and thought it incoherent. I will leave this for when you take a set theory class ... except we (UCD) don't seem to have one.

Wikipedia: The [continuum hypothesis](#) (CH) states that there are no cardinals strictly between \aleph_0 and $2^{\aleph_0} = \mathfrak{c}$. The [generalized continuum hypothesis](#) (GCH) states that for every infinite set X , there are no cardinals strictly between $|X|$ and $2^{|X|}$. The continuum hypothesis is independent of the usual axioms of set theory, the Zermelo-Fraenkel axioms, together with the axiom of choice ([ZFC](#)).

Leftover

$n!$ – factorial – didn't mention

Review of properties of logs – \lg , \log , \ln .

Inverse of 2^x , 10^x , e^x (exp)

$y \mapsto \ln(y)$ (the right notation for how to describe the action of a function. Note the kind of arrow.)

Also λ -notation: $f = \lambda x. \ln(x)$

$f = \lambda x. x^2 + 1$

$$\log(ab) = \log(a) + \log(b)$$

$$\log_a(b) = \log_c(b) / \log_c(a)$$

$$s^{ab} = (s^a)^b$$

$$a^x a^y = a^{x+y}$$

Function composition

$$f \circ g$$

$$f: A \rightarrow B, \quad g: B \rightarrow C$$

then $(g \circ f) : A \rightarrow C$ is defined by

$$(g \circ f)(x) = g(f(x))$$

Kind of "backwards", but fairly tradition. Some mathematicians (eg, in algebra) will reverse it,
 $(x) (f \circ g)$ "function operates on the left"

Comparing growth-rates of functions -Asymptotic notation and view

Motivate the notation. Will do big- O and Theta.

http://en.wikipedia.org/wiki/Big_O_notation

$$O(g) = \{f: \mathbf{N} \rightarrow \mathbf{R}: \exists C, N \text{ s.t. } f(n) \leq C g(n) \text{ for all } n \geq N\}$$

People often use "is" or "=" for "is a member of" or "is an anonymous element of".
 I myself don't like this.

Reasons for asymptotic notation:

1. simplicity – makes arithmetic simple, makes analyses easier
2. When applied to running times: Works well, in practice, to get a feel for efficiency
3. When applied to running times: Facilitates greater model-independence

Reasons against:

1. Hidden constants **can** matter
2. Mail fail to care about things that one should care about
3. Not everything has an "n" value to grow

If $f \in O(n^2)$, $g \in O(n^2)$ then $f+g \in O(n^2)$

If $f \in O(n^2)$ and $g \in O(n^3)$ then $f+g \in O(n^3)$

If $f \in O(n \log n)$ and $g \in O(n)$ then $fg \in O(n^2 \log n)$

etc.

May write $O(f) + O(g)$, and other arithmetic operators

True/False:

If $f \in \Theta(n^2)$ then $f \in O(n^2)$ TRUE

(Truth: $n! = \Theta((n/e)^n \sqrt{n})$)

Discuss the runtime evaluation of a simple code fragment, eg,

```
for i= 1 to n do
  for j=1 to 10*floor(i/3) do
    Constant time statement
```

Will do many more examples next week.