

# ECS 20 — Lecture 15 — Fall 2013 — 14 Nov 2013

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**Today:**

- o Solving recurrence relations .
- o Pigeonhole arguments

**Announcements:**

- o Quiz 3 on Tuesday

**Karatsuba algorithm (1960/1962)** Suppose we want to multiply two decimal numbers. We write one number as  $x = x_1 || x_0$  and the other was  $y = y_1 || y_0$ , each half having  $m$  digits (let's not worry about what to do if  $m$  is odd; no real complications are added). So

$$x = x_1 10^m + x_0$$

$$y = y_1 10^m + y_0,$$

The product is then

$$xy = (x_1 10^m + x_0)(y_1 10^m + y_0)$$

$$= z_2 10^{2m} + z_1 10^m + z_0$$

where

$$z_2 = x_1 y_1$$

$$z_1 = x_1 y_0 + x_0 y_1$$

$$z_0 = x_0 y_0.$$

These formulas require **four multiplications**. Karatsuba observed that  $xy$  can be computed in only **three multiplications** of  $m$ -digit values. With  $z_0$  and  $z_2$  as before we can calculate

$$z_1 = (x_1 + x_0)(y_1 + y_0) - z_2 - z_0$$

which holds since

$$z_1 = (x_1 + x_0)(y_1 + y_0) - x_1 y_1 - x_0 y_0 = x_1 y_0 + x_0 y_1$$

**Example** Let's compute

$$\begin{array}{r}
 98 \quad 76 \\
 \times 56 \quad 78 \\
 \hline
 \phantom{00} 5928 \\
 7644 \phantom{00} \\
 4256 \phantom{000} \\
 5488 \phantom{0000} \\
 \hline
 56075928
 \end{array}$$

these two sum to 11900. But we can also get 11900 as

$$\begin{aligned}
 11900 &= (98+76)(56+78) - 5928 - 5488 \\
 &= 174 * 134 - 5928 - 5488 \\
 &= 23316 - 5928 - 5488 \\
 &= 11900
 \end{aligned}$$

**Comparing the asymptotic running times**

First, the 4-multiply method:

$$\begin{aligned}
 T(n) &= 4T(n/2) + n \quad (\text{when } n > 1; \quad T(n) = \text{const when } n = 1) \\
 &= 4(4T(n/4) + n/2) + n \\
 &= 4^2 T(n/4) + 2n + n \\
 &= 4^3 T(n/8) + n(1 + 2 + 4) \\
 &= 4^4 T(n/2^4) + n(1 + 2 + 2^2 + 2^3) \\
 &= \dots \\
 &= 4^k + n(2^k - 1) \\
 &\in \Theta(n) + O(n^2) \\
 &\in \Theta(n^2)
 \end{aligned}$$

Now, the 3-multiply method:

$$\begin{aligned}
 T(n) &= 3 T(n/2) + n \\
 &= 3 (3T(n/4) + n/2) + n \\
 &= 3^2 T(n/4) + (3n/2 + n) \\
 &= 3^2(3T(n/8) + n/4) + 3n/2 + n \\
 &= 3^3 T(n/8) + 3^2 n/2^2 + 3n/2 + n \\
 &= 3^3 T(n/8) + n(1 + 3/2 + (3/2)^2) \\
 &= 3^4 T(n/16) + n (1 + (3/2) + (3/2)^2 + (3/2)^3) \\
 &= \dots \\
 &= 3^k T(n/2^k) + n (1 + (3/2) + (3/2)^2 + (3/2)^3 + \dots + (3/2)^{k-1})
 \end{aligned}$$

At this point it would be good to know what is

$$\begin{aligned}
 S &= 1 + x + x^2 + \dots + x^{k-1} + x^k \\
 Sx &= x + x^2 + \dots + x^k + x^{k+1} \\
 1+Sx &= 1 + x + x^2 + \dots + x^k + x^{k+1} \\
 1+Sx &= S + x^{k+1} \\
 S(x-1) &= x^{k+1} - 1 \\
 S &= (x^{k+1} - 1) / (x-1)
 \end{aligned}$$

It is worth remembering this result (or, better, being able to re-derive it if you need it).

$$1 + x + x^2 + \dots + x^{k-1} = (x^k - 1) / (x-1)$$

So, with  $x = 3/2$ , we have

$$\begin{aligned}
 (1 + (3/2) + (3/2)^2 + (3/2)^3 + \dots + (3/2)^{k-1}) &= 2 (3/2)^k - 2 \\
 &= 3^k T(n/2^k) + n (1 + (3/2) + (3/2)^2 + (3/2)^3 + \dots + (3/2)^{k-1}) \\
 &= 3^k T(n/2^k) + n ((3/2)^k - 1) / (1/2)
 \end{aligned}$$

Now we want  $k = \lg n$ , so

$$\begin{aligned}
 &= 3^{\lg n} + 2n (3/2)^{\lg n} \\
 &= (2^{\lg 3})^{\lg n} + 2n 3^{\lg n} / 2^{\lg n} \\
 &= n^{\lg 3} + 2 n^{\lg 3} \\
 &= \Theta(n^{\lg 3}) \\
 &= \Theta(n^{1.5849})
 \end{aligned}$$

**Best-known:** we can actually multiply two  $n$ -digit numbers in time  $\Theta(n \log n \log \log n)$  (or this number of 2-input gates) using the Schönhage–Strassen algorithm (1971) – the third multiplicand not improved by Fürer's (2007)

Notation	Intuition	Informal definition: for sufficiently large $n$ ...	Formal Definition
$f(n) \in O(g(n))$	$f$ is bounded above by $g$ (up to constant factor)	$ f(n)  \leq g(n) \cdot k$ for some positive $k$	$\exists k > 0 \exists n_0 \forall n > n_0 f(n) \leq g(n) \cdot k$
$f(n) \in \Omega(g(n))$	$f$ is bounded below by $g$	$f(n) \geq g(n) \cdot k$ for some positive $k$	$\exists k > 0 \exists n_0 \forall n > n_0 g(n) \cdot k \leq f(n)$
$f(n) \in \Theta(g(n))$	$f$ is bounded above and below by $g$	$g(n) \cdot k_1 \leq f(n) \leq g(n) \cdot k_2$ for some positive $k_1, k_2$	$\exists k_1 > 0 \exists k_2 > 0 \exists n_0 \forall n > n_0 g(n) \cdot k_1 \leq f(n) \leq g(n) \cdot k_2$
$f(n) \in o(g(n))$	$f$ is dominated by $g$	$ f(n)  \leq k \cdot  g(n) $ for every fixed positive number $k$	$\forall k > 0 \exists n_0 \forall n > n_0  f(n)  \leq k \cdot  g(n) $
$f(n) \in \omega(g(n))$	$f$ dominates $g$	$ f(n)  \geq k \cdot  g(n) $ for every fixed positive number $k$	$\forall k > 0 \exists n_0 \forall n > n_0  f(n)  \geq k \cdot  g(n) $
$f(n) \sim g(n)$	$f$ is equal to $g$ asymptotically	$f(n)/g(n) \rightarrow 1$	$\forall \varepsilon > 0 \exists n_0 \forall n > n_0 \left  \frac{f(n)}{g(n)} - 1 \right  < \varepsilon$

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If an additional example feels needed: do **mergesort**

## The asymptotic “debate”

Asymptotic notation is everywhere in computer science, but not everyone is a fan.

### Reasons for asymptotic notation:

1. **Simplicity** – makes arithmetic simple, makes analyses easier
2. Applied to running times: Works well, in practice, to get an **understanding of efficiency**
3. When applied to running times: Facilitates greater **model-independence**

### Reasons against:

1. Hidden constants **can** matter
2. Excessive reliance on asymptotics: may fail to notice about things that one really **should** care about
3. Not everything has an “ $n$ ” value to grow with respect to – or, may really be interested in one particular  $n$ .

**There is more than  $O$  and  $\Theta$ .** (Table modified from Wikipedia)

## Back to the Pigeonhole Principle

If  $N$  pigeons roost in  $n$  holes,  $N > n$ , then some two pigeons share a hole.

Restated: [Pigeonhole principle]

If  $f: A \rightarrow B$  where  $A$  and  $B$  are finite sets,  $|A| > |B|$ , then  $f$  is NOT injective.

Or

[Pigeonhole principle, strong form]

If  $f: A \rightarrow B$  where  $A$  and  $B$  are finite sets, then so point  $b \in B$  must have at least  $\lceil |B|/|A| \rceil$  preimages.

Eg, if 100 pigeons roost in 30 holes, some hole has at least 4 pigeons roosting therein.

**Ex 0.** Any room with 3 or more people has some two of the same gender.

**Ex 1.** 20 people at a party, some two have the same number of friends.  
number of friends  
proof: 0..18 or 1..19

**Ex 2:** Given five points inside the square whose side is of length 2, prove that two are within  $\sqrt{2}$  of each other.

Soln: divide square into four  $1 \times 1$  cells. Diameter of each cell =  $\sqrt{2}$

**Ex 3:** In any list of **10** numbers,  $a_1, \dots, a_{10}$ , there's a subsequence of (consecutive) numbers whose sum is divisible by 10.

Consider

$$s_1 = a_1$$

$$s_2 = a_1 + a_2$$

...

$$s_{10} = a_1 + a_2 + \dots + a_{10}$$

Then numbers in the list. If any of these divisible by 10: done.

Otherwise, each is **congruent** to  $1, \dots, 9 \pmod{10}$ . So two of the  $s_i \pmod{10}$  values are congruent to the **same** thing. Eg, may

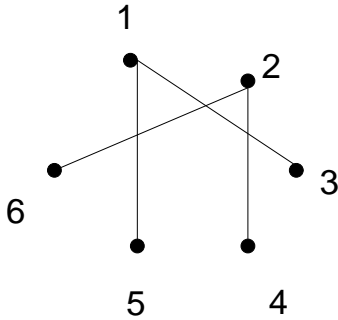
$$a_1 + a_2 + a_3 = 6 \pmod{5}$$

$$a_1 + a_2 + a_3 + a_4 + a_5 = 6 \pmod{5}$$

But then

$$a_4 + a_5 = 0 \pmod{10}$$

**Ex 4.** (beautiful example) In any room of 6 people, there are 3 mutual friends or 3 mutual strangers (Ramsey theorem, and  $R(3,3)=6$ )



Remove person 1 5 people left.

Put into two pots: friends with 1, non-friends with 1.

One has at least three people.

If three friends: Case 1: some two know each other: DONE

Case 2: no two know each other: DONE

If three non-friends: ...o

Difficult Puzzle: What is the minimum number of people that must assemble in a room such that there will be at least  $n$  friends or  $n$  non-friends:  $R(n,n)$

$R(4,4) = 18$  (1955)

$R(5,5) = ??$  open!!! known to be between 43 (1989) and 49 (1995)

$R(10,10) = ??$  open and not tightly determined at all: range 798 (1986)- 23,556 (2002)