

Midterm Solutions

Problems 1–10 were together worth 75 points. For grading, 0–4 correct was 0 points; 5 correct was 5 points; 6 correct was 15 points; 7 correct was 30 points; 8 correct was 45 points; 9 correct was 60 points; 10 correct was 75 points.

I have added parenthetical explanations for you. They were not asked for in the problem.

1. *The logical connectives $\{\wedge, \vee\}$ are logically complete.* **false**
(This was a homework problem. The idea was that, with “and” (\wedge) and “or” (\vee) alone, you can never make the negation (\neg) functionality.)
2. *If a Boolean formula is tautological then it is satisfiable.* **true**
(A formula is tautological if it is true under *every* truth assignment (t.a.). To be satisfiable is a weaker requirement—that it be true under *some* t.a.)
3. *If ϕ is Boolean formula then either ϕ or $\neg\phi$ is tautological.* **false**
(If $\phi = A$, say, neither ϕ or $\neg\phi$ is tautological. Read the English carefully; it doesn’t ask if $(\phi \vee \neg\phi)$ is tautological, which it is; it asks if ϕ is tautological or $\neg\phi$ is.)
4. *Any Boolean formula can be realized (that is, equivalently written) using just NAND gates.* **true**
(We explained in class that NAND, like NOR, is logically complete)
5. *If A and B are finite sets then $|A \cup B| = |A| + |B|$.* **false**
(This is inclusions/exclusion gone awry: the correct formula is $|A \cup B| = |A| + |B| - |A \cap B|$. So the initial claim is true iff A and B are disjoint.)
6. *If A, B, C are sets, $x \in A \oplus B \oplus C$ iff x is in exactly one of $A, B,$ and C .* **false**
(A point x is in the xor of a bunch of sets iff it is in an odd number of them. So, for a counterexample, put a point x in all three sets, eg, $A = B = C = \{1\}$, whence $A \oplus B \oplus C = \{1\}$.)
7. *An infinite language is one that contains at least one string of infinite length.* **false**
(Strings are never infinite in this class; we have never considered any such thing. An infinite language is one that contains an infinite number of strings. Each of those infinitely many strings will have finite length.)
8. $\bigcup_{i \in \mathbb{N}} \{1^i\} = 1^*$ (where the right-hand side is interpreted as a language). (Recall that $\mathbb{N} = \{0, 1, 2, \dots\}$ is the set of natural numbers and $1^0 = \varepsilon$.) **true**
(On the left, we throw into one pot every string of 1’s, including the empty string. On the right, we do the same. I attempted to resolve any unclarity about whether or not I was including the empty string on the left by way of the second parenthetical comment. Note that both conventions are common: $\mathbb{N} = \{0, 1, 2, \dots\}$ and $\mathbb{N} = \{1, 2, 3, \dots\}$, so I was *attempting* to be clear. Maybe I failed, as a student told me after lecture that I had earlier employed the opposite convention. I’m afraid that I have the memory of a fruit fly, and assume everyone else does, too; that is why I try to define what I mean nearby.)
9. *Let T be the relation on pairs of people defined by saying that $x T y$ iff x is at least as tall as y . Then T is an equivalence relation.* **false**
(The relation “at least as tall as” is reflexive and transitive, but it’s definitely not symmetric. You need all three to be an equivalence relation.)
10. *In PS #1 you were asked to show that there exist irrational a and b such that a^b is rational. The distributed solution I gave out showed this to be the case for $a = b = \sqrt{2}$.* **false**
(Look back at the the solution to this homework problem. I explicitly commented that the proof was non-constructive—we showed that *either* $\sqrt{2}^{\sqrt{2}}$ was irrational or something else was. (In fact, $\sqrt{2}^{\sqrt{2}}$ is irrational, but I doubt that anyone managed to show this in their homework.)

The remaining problems were graded 10 points per problem.

11. Convert the following formula into an equivalent one without negations. The logical connectives your formula may use are $\{\wedge, \vee\}$. The relation symbols your formula may use are $\{<, \leq, =, \neq, \geq, >\}$. As always, be careful.

$$\neg (\forall c)(\exists N)(\forall n)((c > 0 \wedge N > 0 \wedge n \geq N) \rightarrow f(n) \leq n^{-c})$$

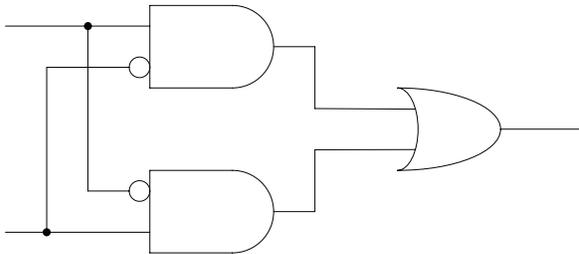
The negation is: $(\exists c)(\forall N)(\exists n)(c > 0 \wedge N > 0 \wedge n \geq N \wedge f(n) > n^{-c})$.

12. Write a **disjunctive normal form (DNF)** formula whose truth table is given below. Let your formula be the **or** of four terms where each term is the **and** of three variables or their complements:

A	B	C	$\phi(A, B, C)$
0	0	0	0
0	0	1	1
0	1	0	1
0	1	1	0
1	0	0	1
1	0	1	0
1	1	0	1
1	1	1	0

$$\overline{A} \overline{B} C \vee \overline{A} B \overline{C} \vee A \overline{B} \overline{C} \vee A B \overline{C}$$

13. Using only **and**, **or**, and **not** gates, draw a circuit realizing the functionality of an **xor** (exclusive or) gate.



Of course various other circuits are possible, too.

14. Capture the logical content of the following sentence in a Boolean formula: Nobody likes Mark except his roommates, who actually do like him. You may use any logical connectives you know. Make your formula as succinct as possible. Use predicate symbols $L(x, y)$ (person x likes person y), $R(x, y)$ (persons x and y are roommates), and the constant symbol **Mark**. The universe of discourse is people.

$$(\forall x)(L(x, \text{Mark}) \Leftrightarrow R(x, \text{Mark}))$$

(This is of course a repeat of a problem from one of your quizzes.)

15. Suppose that A , B , A' , and B' are sets. Give a simple counter-example to the claim:

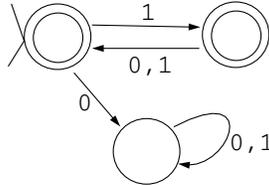
$$A \times B = A' \times B' \implies A = A' \wedge B = B'.$$

A simple counterexample is $A = B = A' = \emptyset$ and $B' = \{\emptyset\}$

16. Let $L = \{x : x \in \{a, b, c\}^* \text{ and } x \text{ contains at least one } a \text{ and at least one } b\}$. Write, in lexicographic order, the first five strings of L .

ab, ba, aab, aba, abb

17. Finish this statement of DeMorgan's law for sets: $(A \cap B)^c = A^c \cup B^c$.
 (Many students wrote $\neg A$ for A^c . In fact, when A is a set, we have never defined a " $\neg A$ ". People do use \overline{A} for the set-theoretic complement of A , but I've never seen $\neg A$.)
18. Write a shortest regular expression for the language $L = \{a^n : n \text{ is odd}\}$.
 $a(aa)^*$
19. Draw a DFA for $L = \{a_1 \dots a_n : \text{each } a_i \text{ is a bit and } a_i = 1 \text{ for all odd } i\}$. Assume that the empty string ε is in L . Make your DFA have the minimum number of states you can.



(This was of course a homework problem.)

20. Let $P \subseteq A \times B$ and $Q \subseteq B \times C$ be a relation. Formally define $P \circ Q$, the composition of P and Q .
 $P \circ Q$ is the relation $P \circ Q \subseteq A \times C$
 defined by: $a(P \circ Q)c$ iff $(\exists b \in B)(a P b \wedge b Q c)$
21. For $a, b \in \mathbb{R}$ define $a E b$ if $a - b \in \mathbb{Z}$. What's the smallest positive number in $[\pi]$? (Here $\pi = 3.14159\dots$ is the usual constant that goes by this name.)
 $\pi - 3$

(Strangely few students got this. You should have been familiar with this equivalence relation, which was used in a homework problem.)

22. Let T_n be the minimum number of moves to solve the n -ring tower of Hanoi problem. In class we showed that, for $n \geq 1$,

$$T_n \leq 2T_{n-1} + 1 \quad (1)$$

(while $T_0 = 0$). Repeating the proof given in class, establish this equation. Please do not "solve" the equation (that is, write it in a "closed-form" way); your job is to justify it. Write your proof in clear, grammatical English.

The problem is asking you to show that equation (1) gives a *sufficient* number of moves to solve the problem, so it is enough to *give an algorithm* that solves the problem using $T_n = 2T_{n-1} + 1$ moves. An algorithm is:

- (i) move the top $n - 1$ rings off of the peg they're on and onto a temporary peg; (ii) then move the largest ring to the destination peg; (iii) then move the $n - 1$ rings from the temporary peg to the destination peg.

The first and third steps are accomplished recursively, doing nothing at all when $n = 0$. The total number of moves in this solution is $S_n = S_{n-1} + 1 + S_{n-1} = 2S_{n-1} + 1$ (for $n \geq 1$) and $S_0 = 0$, so $T_n \leq S_n$ and we are done.

Note: in many students' solutions it was impossible to figure out if you even understood that you were being asked to prove that the formula is giving a *necessary* number of moves or a *sufficient* number of moves. When you use language like *you must now do X*, it certainly sounds like you are arguing necessity (because of *must*). Also, many students seemed to completely ignore the instructions about not solving the recurrence.

23. *We showed in class that five shuffles is inadequate to mix a deck a 52 cards. In a clearly written paragraph, recount the line of reasoning for our proof.*

We defined a *rising sequence* as a maximal subsequence of consecutive integers then defined $\text{nr}(S)$ as the number of rising sequences needed to “cover” the sequence S . Letting $S_0 = (1, 2, \dots, 52)$ and $S_* = (52, 51, \dots, 1)$, we commented that $\text{nr}(S_0) = 1$ while $\text{nr}(S_*) = 52$. We showed that if S' is obtained from S by a single riffle shuffle then $\text{nr}(S') \leq 2 \cdot \text{nr}(S)$ —that is, the number of rising sequences at most doubles with each shuffle. So, if you start with the deck in the order given by S_0 then, after 5 shuffles, you can't possibly be in any configuration having more than $2^5 = 32$ rising sequences so, in particular, you can't be in configuration S_* . If you can't even reach every ordering of cards then clearly then the cards are not well mixed.